

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**Docket No.
00SA.0001.0005 **E**

In Re Application Of: WILLIAMS

MAR 27 2002

Serial No.
09/930,612Filing Date
August 15, 2001Examiner
UnknownGroup Art Unit
2131

Invention: APPARATUS, SYSTEM AND METHOD FOR ENHANCING DATA SECURITY

COPY OF PAPERS
ORIGINALLY FILED

02/08/02

TO THE ASSISTANT COMMISSIONER FOR PATENTS:**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
October 2, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**Docket No.
008A.0001.U1(US)In Re Application Of: **WILLIAMS**Serial No.
09/930,612Filing Date
August 13, 2001Examiner
UnknownGroup Art Unit
2131Invention: **APPARATUS, SYSTEM AND METHOD FOR ENHANCING DATA SECURITY****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**Attention: Manager, Application Branch☐ Completion of application fees as calculated below:☐ Utility application filing fee _____☐ Design application filing fee _____☐ Total number of independent claims = _____☐ Total number of claims = _____☐ Multiple dependent claims _____☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____☐ Fee for processing an application filed with a non-English language specification _____☐ Fee for processing and retention of application _____Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five monthsfrom: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**Docket No.
008A.0001.U1(US)In Re Application Of: **WILLIAMS**Serial No.
09/930,612Filing Date
August 15, 2001Examiner
UnknownGroup Art Unit
2131Invention: **APPARATUS, SYSTEM AND METHOD FOR ENHANCING DATA SECURITY****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**Attention: Manager, Application BranchThe fee of **\$65.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1924
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1924
A duplicate copy of this sheet is enclosed.


SignatureDated: **November 30, 2001**

Harry F. Smith
Registration No. 32,493
Harrington & Smith, LLP
1809 Black Rock Turnpike
Fairfield, CT 06432
(203) 366-4084 phone
(203) 366-4109 fax

I certify that this document and fee is being deposited
on November 30, 2001 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence**Victoria Parker**

Typed or Printed Name of Person Mailing Correspondence

CC:

MAR. 27. 2002 3:54PM

HARRINGTON & SMITH

NO. 429

P. 2

OICE
MAR 27 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/930,612	08/15/2001	Williams, Ian	008A.0001.U1(US)

29683

HARRINGTON & SMITH, LLP
1809 BLACK ROCK TURNPIKE
FAIRFIELD CT 06432

RECEIVED

MAR 26 2002

HARRINGTON & SMITH, LLP

Title: Apparatus, system and method for enhancing data security

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/08/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Harry Smith Registration No.: 32,493Signature: [Signature] Date: March 27, 2002

1809 Black Rock Turnpike
Fairfield, CT 06432-3504
Phone: (203) 366-4084
Fax: (203) 366-4109

Harrington & Smith, LLP

Fax

OIPE
MAR 27 2002

To: OIPE**From:** Harry F. Smith**Fax:** 703 746-4060**Pages:** 12 pages including cover page**Phone:****Date:** 3/27/2002**Re:** 09/930,612**CC:**

☐ Urgent ☐ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent Office (Fax No. 703-746-4060) on March 27, 2002.

Victoria Parker.
Name of person faxing correspondence

Victoria Parker.
Signature

CONFIDENTIALITY NOTICE: This fax transmission (and/or the attachments accompanying it) may contain confidential information belonging to the sender which is protected by attorney-client privilege. The information is only for the use of the intended recipient. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this information is strictly prohibited. Any unauthorized interception of this transmission is illegal under the law. If you have received this transmission in error, we would appreciate your promptly notifying the sender, and then please destroy all copies of the transmission.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930.612	08/15/2001	Ian C. Williams	003A.0001.U1(US)

29683
HARRINGTON & SMITH, LLP
1809 BLACK ROCK TURNPIKE
FAIRFIELD, CT 06432

CONFIRMATION NO. 1604

FORMALITIES LETTER

OC000000006834680

Date Mailed: 10/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS
ORIGINALLY FILED

02/08/02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE